

SALE OR PURCHASE OF STOCKS/EQUITY/SHARES

Clients Name: _____
 Date _____
 TRN _____ - _____ - _____

Equity A/C number

Sell Shares

_____ units of _____ shares at a minimum price of \$ _____ per share

_____ units of _____ shares at a minimum price of \$ _____ per share

Purchase Shares

_____ units of _____ shares at a maximum price of \$ _____ per share

_____ units of _____ shares at a maximum price of \$ _____ per share

Orders that are not filled within one (1) month will be purged/deleted from the system.

I - Electronic Payments _____

NCB A/C # _____ - _____ - _____ Name: _____ JMD USD GBP CAD

NCB A/C # _____ - _____ - _____ Name: _____ JMD USD GBP CAD

N.C.B. Credit Card: _____ - _____ - _____ A/C Holder _____

NCB Capital Markets A/C: Equity _____ Fixed Income _____ Other _____

A/C holder: _____

JMD Payments to other banks *(NCB Capital Markets Limited is not responsible for any fees the beneficiary banks may charge for funds received)*

Beneficiary Bank _____ Savings account

Branch _____ Branch Code _____

Beneficiary's Name _____ Chequing account

Beneficiary's Account No. _____

Please transmit the above instructions at my/our risk and cost, it being understood that I/we release and indemnify you and whatever agents you choose from and against the consequences of any irregularity, delay, omission, error or misrepresentation that may arise and from and against any loss which may be incurred through your agents failing to properly identify the person named in the above instructions or retaining the funds pending confirmation of the identity of any person, or of the above instructions.

Authorized Signature: _____

II - Cheques

Please note that clients are given one free cheque per day; additional NCB Capital Markets cheques attract an additional fee.

NCBCML **Citibank** Cheque (USD) payable to: 1) _____

NCBCML **Local** Cheque (USD) payable to: 1) _____

Manager's Cheque/Draft* 1) _____

*(*Incurs a fee determined by the branch)* 2) _____

The purchase price for the shares will be paid by:

Debiting NCB Capital Markets Fixed Income A/C# _____. I/We also agree that you may place a lien on the said account to cover the purchase price for the shares from the date hereof until the settlement date of the transaction.

Authorized Signature: _____

Authorized Signature: _____

Company Stamp or Seal