

## PARTICIPATION IN PRIMARY AUCTIONS REQUEST

TO: **NCB CAPITAL MARKETS LIMITED**  
A/C# \_\_\_\_\_

DATE: \_\_\_\_\_

	First Name	Middle Name	Last Name	Gender (M/F)	Date of Birth (YYYY/MM/DD)	TRN
Principal						
Joint Holder (1)						
Joint Holder (2)						
Joint Holder (3)						

**SOURCE OF FUNDS**

NEW ☐

EXISTING ☐

Please use this as your authority to purchase the instrument currently being offered in the primary auction on my behalf details as follows:

Instrument \_\_\_\_\_

Purchase Amount (\$/Nominal) \_\_\_\_\_

Please forward **INTEREST** Payment(s) to:

☐ Mailing Address: \_\_\_\_\_

☐ Credit NCBJ Bank A/C# \_\_\_\_\_

☐ NCB Capital Markets for collection

☐ NCB Capital Markets to be lodged to NCB CML A/C# \_\_\_\_\_

☐ Other (please state) \_\_\_\_\_

Please forward **PRINCIPAL** Payments(s) to:

☐ Mailing Address: \_\_\_\_\_

☐ Credit NCBJ Bank A/C# \_\_\_\_\_

☐ NCB Capital Markets for collection

☐ NCB Capital Markets to be lodged to NCB CML A/C# \_\_\_\_\_

☐ Other (please state) \_\_\_\_\_

**Bond Certificate:**

☐ Certificate to be held in custody by NCB Capital Markets Ltd.

Or

☐ Certificate to be mailed to: \_\_\_\_\_

Full name (s)

Address: \_\_\_\_\_

I confirm that I have read and understood the attached Indicative Price Sheet.

Signed: \_\_\_\_\_

(Client's Signature)

This application is not a **GUARANTEE OF PARTICIPATION**. Bids/Purchase Requests will be processed on a **BEST EFFORTS BASIS**.  
Final purchase price will attract commission plus GCT.

Revised July 2011